



Practitioner's Docket No. 09792909-0336

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Akashi, et al.

Application No.: 09/575,237

Group No.: 1745

Filed: May 22, 2000

Examiner: C. Chaney

For: Solid Electrolyte Battery

Assistant Commissioner for Patents
Washington, D.C. 20231

**STATEMENT THAT SUBSTITUTE SPECIFICATION
CONTAINS NO NEW MATTER (37 C.F.R. § 1.125)**

1. Identification of person making this statement

Jeffrey F. Craft

(type or print name)

Sonnenschein Nath & Rosenthal

P.O. Box 061080, Wacker Drive Station

Address

Sears Tower

Chicago, Illinois 60606

The person making this statement is:

(complete applicable item)

☐ the inventor in this application.

☒ the attorney in this application, Registration Number 30,044

☐ other *(indicate relationship)*

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CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. § 1.8(a))

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☐ transmitted by facsimile to the Patent and Trademark Office.

Date: July 29, 2002

Signature

Kathleen Gaines

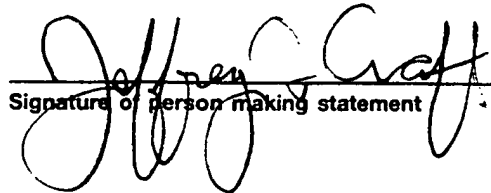
(type or print name of person certifying)

2. Stat ment

I hereby state that the accompanying substitute specification contains no new matter over that contained in the above-identified application originally filed.

(complete the following, if applicable)

- ☐ I further state that the changes made are the same as indicated in the interlineated substitute specification also accompanying this statement.



Signature of person making statement



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TRANSMITTAL OF SUBSTITUTE SPECIFICATION (37 C.F.R. § 1.125)

NOTE: A substitute specification, excluding the claims, may be filed at any point up to payment of the issue fee if it is accompanied by items indicated below. See 37 C.F.R. § 1.125(b).

1. Enclosed is a substitute specification for the originally filed specification in this application.

NOTE: The substitute specification must be submitted in clean form without markings as to amended material. 37 C.F.R. § 1.125(c).

2. (complete the following applicable item)

☒ This substitute specification is submitted, in response to a requirement by the Examiner.

OR

☐ This substitute specification is being voluntarily submitted, in order to facilitate the processing of the application.

3. Also enclosed is a marked-up copy of the substitute specification showing the matter being added to and the matter being deleted from the specification.

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☐ transmitted by facsimile to the Patent and Trademark Office.

Date: July 29, 2002

Signature

Kathleen Gaines

(type or print name of person certifying)

(Transmittal of Substitute Specification [9-27]—page 1 of 2)


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4. Accompanying this transmittal is a statement, as required by 37 C.F.R. § 1.125, that the substitute specification transmitted herewith contains no new matter.

Reg. No.: 30,044

Tel. No.: (213) 623-9300

Customer No.:


SIGNATURE OF PRACTITIONER
Jeffrey F. Craft
(type or print name of practitioner)
Sonnenschein Nath & Rosenthal
P.O. Box 061080 Wacker Drive Station
P.O. Address
Sears Tower
Chicago, Illinois 60606



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PTO/SB/21 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/575,237
	Filing Date	05/22/2000
	First Named Inventor	Akashi
	Group Art Unit	1745
	Examiner Name	C. Chaney
Total Number of Pages in This Submission	Attorney Docket Number	09792909-0336

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Transmittal of Substitute Specification; Statement That Substitute Specification Contains No New Matter; Substitute Specification; Acknowledgement Postcard
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	SONNENSCHN NATH & ROSENTHAL
Signature	
Date	07/29/2002

CERTIFICATE OF MAILING			
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Typed or printed name	Jeffrey F. Craft		
Signature		Date	07/29/2002

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 920.00

Compleat if Known

Application Number	09/575,237
Filing Date	05/22/2000
First Named Inventor	Akashi
Examiner Name	C. Chaney
Group Art Unit	1745
Attorney Docket No.	09792909-0336

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: **Sonnenschein Nath & Rosenthal**

Deposit Account Name: **19-3140**

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES

Total Claims: -20** = X =

Independent Claims: -3** = X =

Multiple Dependent: =

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
103 18	203 9	Claims in excess of 20	
102 84	202 42	Independent claims in excess of 3	
104 280	204 140	Multiple dependent claim, if not paid	
109 84	209 42	** Reissue independent claims over original patent	
110 18	210 9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 920.00

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SUBMITTED BY

Name (Print/Type)	Jeffrey F. Craft	Registration No. (Attorney/Agent)	30,044	Complete (if applicable)	Telephone	213-623-9300
Signature	<i>Jeffrey F. Craft</i>	Date	07/29/2002			

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